

# AMENDMENT TRANSMITTAL LETTER (Small Entity)

Applicant(s): Zimmermann, et al.

Docket No.  
113737.6

Serial No.  
09/762,850

Filing Date  
April 13, 2001

Examiner  
David M. Naff

Group Art Unit  
1651

Invention: Method for Producing Ultra-Pure Alginates



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☐ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

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## CLAIMS AS AMENDED

TECH CENTER 1600/2900

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	15 -	20 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	2 -	3 =	0 x	\$42.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_
- ☐ A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 502194
- ☐ A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Dated: January 22, 2003

*Matthew P. McWilliams*  
Signature  
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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Zimmermann, et al.**

Docket No.

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Serial No.  
09/762,850Filing Date  
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David M. NaffGroup Art Unit  
1651Invention: **Method for Producing Ultra-Pure Alginates**

I hereby certify that the following correspondence:

**Amendment Transmittal Letter (1 pg. in dup); Response to Non-Final Office Action (8 pages).***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

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**Lorraine T. Lewis***(Typed or Printed Name of Person Mailing Correspondence)*

*Lorraine T. Lewis*  
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09/762,850

Express Mail Label No. EV264174129US

Attorney's Docket No. 113737.6

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Zimmermann, et. al. :

Group No.: 1651

Serial No.: 09/762,850 :

Examiner: David M. Naff

Filed: April 13, 2001 :

For: Method for Producing Ultra-Pure Alginates

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JAN 28 2003

**RESPONSE TO NON-FINAL OFFICE ACTION**  
**Under 37 C.F.R. § 1.111****TECH CENTER 1600/2900**Box Non-Fee Amendment  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Office Action mailed on October 22, 2002, in the subject application,  
Applicants respectfully submit the following amendments and remarks.

**AMENDMENTS**

~~In the Specification~~, please delete the paragraphs inserted at page 3, line 35, which were  
contained in the amendment filed on April 13, 2001.

Please replace Claims 29, 42, and 52 with the following amended Claims 29, 42, and 52:

29. (Amended) A process for obtaining a highly-purified alginate composition, the  
process comprising the steps of:

- C1
- a) extracting an algae material with a complex forming agent for providing a  
solution containing solved alginate,